

230 North Elm Street, Suite 1500  
Greensboro, NC 27401

Date: \_\_\_\_\_

100 Europa Drive, Suite 271  
Chapel Hill, NC 27517

(mail) Post Office Box 21847  
Greensboro, NC 27420



336-370-8800  
(fax) 370-8830

## ESTATE PLANNING QUESTIONNAIRE *for an individual*

[www.schellbray.com](http://www.schellbray.com)

The information requested will assist us in helping you develop an appropriate estate plan. Please provide information that is as accurate and complete as possible. Please attach additional sheets if necessary. Also, if you are uncertain about a question, please note this and give us your best answer. Information you provide will be kept confidential unless you authorize its release to others.

### PERSONAL INFORMATION

Full name: \_\_\_\_\_

Date of birth: \_\_\_\_\_ Age: \_\_\_\_\_ Gender: \_\_\_\_\_ SSN: \_\_\_\_\_

Employer: \_\_\_\_\_

Work address: \_\_\_\_\_

Work telephone: \_\_\_\_\_

Of what country are you a citizen? \_\_\_\_\_

What is the condition of your health? \_\_\_\_\_

Have you ever been married? Yes: \_\_\_\_\_ No: \_\_\_\_\_ Is your spouse living? Yes: \_\_\_\_\_ No: \_\_\_\_\_

If so, in what state (or country) were you married? \_\_\_\_\_

Are you legally divorced? Yes: \_\_\_\_\_ No: \_\_\_\_\_

Spouse's name, if applicable: \_\_\_\_\_

Of what country is your spouse a citizen? \_\_\_\_\_

Do you have parents or other adults who are financially dependent on you? Yes: \_\_\_\_\_ No: \_\_\_\_\_

Do you presently have a Will? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please attach a copy.

Home address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_ Email address: \_\_\_\_\_

Mobile Phone Number: \_\_\_\_\_

Children

Date of Birth, Age, Gender

Address

Identity of Parents

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Grandchildren

Date of Birth, Age, Gender

Address

Identity of Parents

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Are any of the children or grandchildren listed above adopted? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please identify who is adopted, by whom, and the age at which the child or grandchild was adopted:

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Have you ever participated in any Assisted Reproductive Technology procedure in an effort to have children of whom you would be the legal parent? Yes: \_\_\_\_\_ No: \_\_\_\_\_ Examples include in vitro fertilization, and the storage of eggs, sperm, or embryos.

Please provide names, addresses and telephone numbers for the following advisors (if applicable):

Accountant: \_\_\_\_\_

Financial Advisor: \_\_\_\_\_

Trust Officer or Banker: \_\_\_\_\_

Other Attorney: \_\_\_\_\_

Do you have any obligations to a current or former spouse or children from a prior marriage under a premarital agreement, post marital agreement, separation agreement, divorce decree, child custody/support order, etc.?

Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please attach a copy of the relevant document(s).

Are you or is your spouse a Veteran? Yes: \_\_\_\_\_ No: \_\_\_\_\_

Service Branch: \_\_\_\_\_

Do you or any of your children or other beneficiaries have disabilities, serious health problems, or other special needs? Yes: \_\_\_\_\_ No: \_\_\_\_\_

Do you own a long-term care (nursing home) insurance policy? Yes: \_\_\_\_\_ No: \_\_\_\_\_

Have you ever hired a caregiver for you or your spouse? Yes: \_\_\_\_\_ No: \_\_\_\_\_

Do you own any foreign bank accounts? Yes: \_\_\_\_\_ No: \_\_\_\_\_

**FINANCIAL INFORMATION**

<i>Assets</i>	<i>Individual</i>	<i>Joint</i>	<i>If Joint, Co-Owner(s)</i>
Cash and Bank Accounts	\$ _____	\$ _____	_____
Notes and Accounts Receivable	\$ _____	\$ _____	_____
Stocks, Bonds and Mutual Funds	\$ _____	\$ _____	_____
Annuities	\$ _____	\$ _____	_____
Options	\$ _____	\$ _____	_____
Residence (market value)	\$ _____	\$ _____	_____
Other Real Estate (market value)	\$ _____	\$ _____	_____
Life Insurance (face value)	\$ _____	\$ _____	_____
IRA, 401(k), Qualified Pension and Profit Sharing Plans (vested)	\$ _____	\$ _____	_____
Tangible Personal Property	\$ _____	\$ _____	_____
Business Interests	\$ _____	\$ _____	_____
Other	\$ _____	\$ _____	_____
<b>Subtotals</b>	\$ _____	\$ _____	_____

<i>Liabilities</i>	<i>Individual</i>	<i>Joint</i>	<i>If Joint, Co-Owner(s)</i>
Real Estate Mortgages	\$ _____	\$ _____	_____
Loans on Insurance Policies	\$ _____	\$ _____	_____
Other Loans and Notes	\$ _____	\$ _____	_____
Pledges	\$ _____	\$ _____	_____
Taxes	\$ _____	\$ _____	_____
Other	\$ _____	\$ _____	_____
<b>Subtotals</b>	\$ _____	\$ _____	
<b>Totals</b>	\$ _____	\$ _____	

**CLOSELY-HELD BUSINESS INTERESTS**

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Type of entity (C corporation, S corporation, partnership, limited liability company): \_\_\_\_\_

Percentage of business owned by you and estimated value: \_\_\_\_\_

Identity of other owners, if any: \_\_\_\_\_

Do you desire the business to be continued following your death? Yes: \_\_\_\_\_ No: \_\_\_\_\_

What provisions have been made for successor management? \_\_\_\_\_

Are there any buy/sell or stock redemption agreements? \_\_\_\_\_

What arrangements have been made to fund any such buyout or redemption? \_\_\_\_\_

**LIFE INSURANCE**

Company: \_\_\_\_\_

Type of policy (term, whole life, universal, split dollar, group, etc.): \_\_\_\_\_

Insured: \_\_\_\_\_

Owner: \_\_\_\_\_

Primary beneficiary: \_\_\_\_\_

Contingent beneficiary: \_\_\_\_\_

Death benefit: \_\_\_\_\_

Insurance agent's name & address: \_\_\_\_\_

Company: \_\_\_\_\_  
Type of policy (term, whole life, universal, split dollar, group, etc.): \_\_\_\_\_  
Insured: \_\_\_\_\_  
Owner: \_\_\_\_\_  
Primary beneficiary: \_\_\_\_\_  
Contingent beneficiary: \_\_\_\_\_  
Death benefit: \_\_\_\_\_  
Insurance agent's name & address: \_\_\_\_\_

Company: \_\_\_\_\_  
Type of policy (term, whole life, universal, split dollar, group, etc.): \_\_\_\_\_  
Insured: \_\_\_\_\_  
Owner: \_\_\_\_\_  
Primary beneficiary: \_\_\_\_\_  
Contingent beneficiary: \_\_\_\_\_  
Death benefit: \_\_\_\_\_  
Insurance agent's name & address: \_\_\_\_\_

If you have an additional policy(ies), please attach a separate sheet listing each as above.

**OTHER INTERESTS**

Are you a beneficiary or a trustee under any trust? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please explain and provide a copy of the trust agreement or other governing instrument (such as a Will) if possible: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Are you likely to receive substantial inheritances in the foreseeable future? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please explain and state the source, nature and estimated value of each inheritance (if known): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Describe the general nature, form of ownership, and your estimate of the value of any asset or other interest of value that does not seem to fit in any of the categories above: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**ESTATE PLANNING OBJECTIVES**

State any particular objectives you wish your estate plan to accomplish:

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Identify any debts *owed to you* that you wish to address in your estate plan:

<u>Debtor</u>	<u>Relationship</u>	<u>Amount of Debt</u>

Identify any debts *you owe* that you wish to address in your estate plan:

<u>Lender</u>	<u>Relationship</u>	<u>Amount of Debt</u>

Do you want to *require* that any mortgage or other debt secured by your residence or any other real property be paid out of your estate? Yes: \_\_\_\_\_ No: \_\_\_\_\_

If so, explain: \_\_\_\_\_

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Identify any charitable or non-charitable specific gifts you wish to make in your estate plan:

<u>Name</u>	<u>Relationship</u>	<u>Item or Amount</u>

Describe how you would like to dispose of the remainder of your estate:

<u>Name</u>	<u>Relationship</u>	<u>Percentage</u>

Should all of your intended beneficiaries fail to survive to take your property, please list any contingent charitable or non-charitable beneficiaries to whom you may wish to leave your property:

<u>Name</u>	<u>Relationship</u>	<u>Address</u>

**GUARDIANS, EXECUTORS, TRUSTEES AND AGENTS**

If you have a minor child or minor children, you may designate in your Will a **guardian** or guardians you wish to nominate to have physical care and custody of the minor child or children if both natural or adoptive parents are deceased.

Name                      Relationship                      Address

Guardian: \_\_\_\_\_

Alternate: \_\_\_\_\_

Alternate: \_\_\_\_\_

Your **executor** has the responsibility to wind up your affairs at your death, see to it that your assets are collected, that claims, expenses, and estate and inheritance taxes are paid, and then distribute property to trustees or other beneficiaries you have named. You should designate one or more executors.

Name                      Relationship                      Address

Executor: \_\_\_\_\_

Alternate: \_\_\_\_\_

Alternate: \_\_\_\_\_

If your estate plan will include trusts, you should select one or more trustees. A **trustee** has the responsibility for the management of property that is to be held in trust for the benefit of the beneficiaries of the trust. A trustee may be an individual or a corporation qualified to act as a trustee (typically a bank or trust company).

Name                      Relationship                      Address

Trustee: \_\_\_\_\_

Alternate: \_\_\_\_\_

Alternate: \_\_\_\_\_

A **Power of Attorney** is a legal document in which you authorize another person (called an agent or attorney-in-fact) to act on your behalf in the management of your affairs. If your estate plan will include a Power of Attorney, you should select one or more agents.

Name                      Relationship                      Address

Agent: \_\_\_\_\_

Alternate: \_\_\_\_\_

Alternate: \_\_\_\_\_



A **Health Care Power of Attorney** appoints a person (called a health care agent) as your representative to make decisions regarding your health care treatment when you are unable to give informed consent. You will need to list the persons you want to serve as your health care agents.

Name

Relationship

Address

Agent: \_\_\_\_\_

Alternate: \_\_\_\_\_

Alternate: \_\_\_\_\_

**OTHER MATTERS RELEVANT TO YOUR ESTATE PLAN**

List the current primary and contingent beneficiaries of each IRA and retirement benefits plan:

IRA or Benefits Plan

Primary/Relationship

Contingent/Relationship


List the current primary and contingent beneficiaries of each annuity:

Annuity

Primary/Relationship

Contingent/Relationship


If you are married, have you ever lived in a community property state (California, Texas, New Mexico, Arizona, Nevada, Louisiana, Washington, Idaho or, after 1985, Wisconsin)? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, give details of assets accumulated while in a community property state that were brought into this state: \_\_\_\_\_

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Do you have a deceased spouse who died after December 31, 2010? Yes: \_\_\_\_\_ No: \_\_\_\_\_

Do you own any real property located outside of North Carolina? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please describe the property owned and where it is located: \_\_\_\_\_

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Have you made past gifts to a person or organization other than a spouse (or former spouse) or a charity where the amount of such gift in any single year was more than \$3,000 in any year prior to 1982, or more than \$10,000 in any year from 1982 through 2001, or more than \$11,000 in any year from 2002 through 2005, or more than \$12,000 in any year from 2006 through 2008, or more than \$13,000 in any year from 2008 through 2012, or more than \$14,000 in 2013 through 2017, or more than \$15,000 in 2018 or later? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please state the nature and amount of the gift, when it was made and to whom it was made: \_\_\_\_\_

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Have you placed any property in joint names with any person? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please explain: \_\_\_\_\_

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Are you a guarantor of any indebtedness? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please explain: \_\_\_\_\_

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Do you have any powers of appointment over property (the right to transfer or dispose of property that you do not own) under a Will, trust or other document? Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please explain and provide a copy of the document(s) creating any such power of appointment: \_\_\_\_\_

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Are you an organ donor? Yes: \_\_\_\_\_ No: \_\_\_\_\_

Have you purchased prepaid burial contract(s): Yes: \_\_\_\_\_ No: \_\_\_\_\_ If so, please describe: \_\_\_\_\_

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Describe or list any other facts or matters about your affairs or situation that you think may be relevant in planning your estate but which do not seem to be covered by the other sections of this questionnaire: \_\_\_\_\_

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